



PATENT
18897-284791

#3
D. Martin
9-23-02
HPS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
YUNGMO KANG

Group No.: 2838

Serial No.: 09/966,514

Examiner: UNKNOWN

Filed: SEPTEMBER 27, 2001

For: IMPROVED ANNULAR
RECUPERATION DESIGN

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Asst. Commissioner for Patents
Washington, D.C. 20231

Dear Sirs:

1. The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b).

2. The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but *before* the mailing date of either:

- (1) a final action under §1.113 or
- (2) a notice of allowance under §1.311, whichever occurs first.

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CERTIFICATION OR FEE

A. Included with this transmittal is:

i. a certification (set forth below) in accordance with 37 C.F.R. §1.97(e). (If for any reason the certificate set forth below should be unsatisfactory, the Commissioner is provisionally authorized to charge the \$240 fee (37 C.F.R. §1.17(p)) to Deposit Account No. 16-1805. A copy of this sheet is enclosed.)

OR

ii. the attached fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00).

3. The information disclosure statement transmitted herewith is being filed *after* a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first, but before, or simultaneously with the payment of the issue fee.

CERTIFICATION, PETITION AND FEE

A. In accordance with the requirements of 37 C.F.R. §1.97(d):

i. Set forth below is a certification as specified in 37 C.F.R. §1.97(e).

ii. Applicant hereby petitions for the consideration of the accompanying information disclosure statement. 37 C.F.R. §1.97(d)(ii).

iii. Applicant submits the petition fee set forth in §1.17(i)(1). (\$180.00).

CERTIFICATION

(Required if 2Ai or 3 above is marked)

4. I, the person signing below, certify

that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign

application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

5. If it should be determined that for any reason either an insufficient or an excessive fee has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 16-1805. A copy of this petition is enclosed.

Respectfully submitted,

PILLSBURY WINTHROP LLP

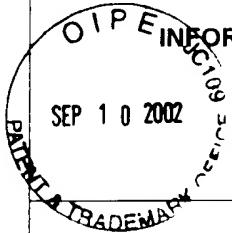
Dated: September 5, 2002

By: James M. Wakely
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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington D.C. 20231, on 9/5/02.

By: James M. Wakely
James M. Wakely, Reg. No. 48,597



FORM PTO-1449

Docket Number (Optional)
18897-284791

Application No.
09/966,514

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

SEP 10 2002

(Use several sheets if necessary)

Applicant
YUNGMO KANG

Filing Date
SEPTEMBER 27, 2001

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

ENCOUNTERED DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 5609. Draw line through citation if not in conformance.